## International Regulatory Framework for fish and seafood safety

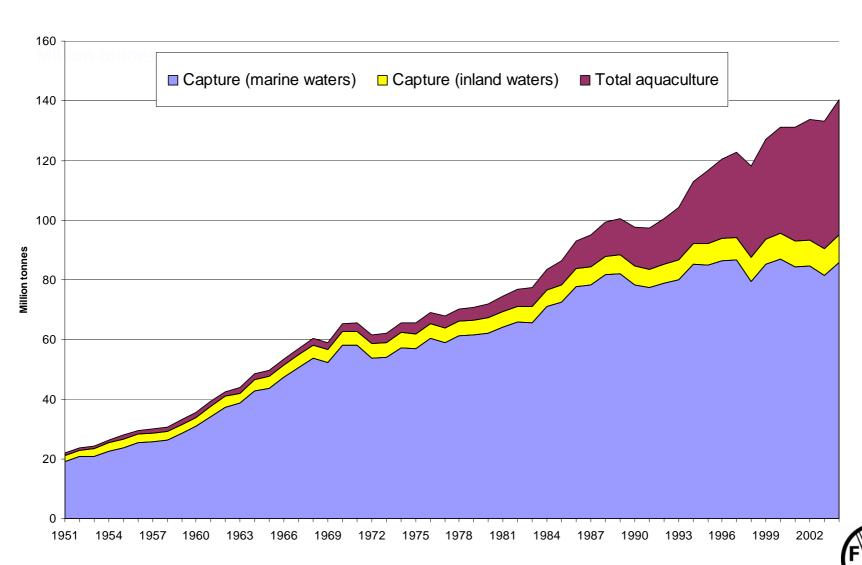
Lahsen Ababouch

Chief, Fish Utilization and Marketing Fish Products and Industry Division Food and Agriculture Organization Rome, Italy

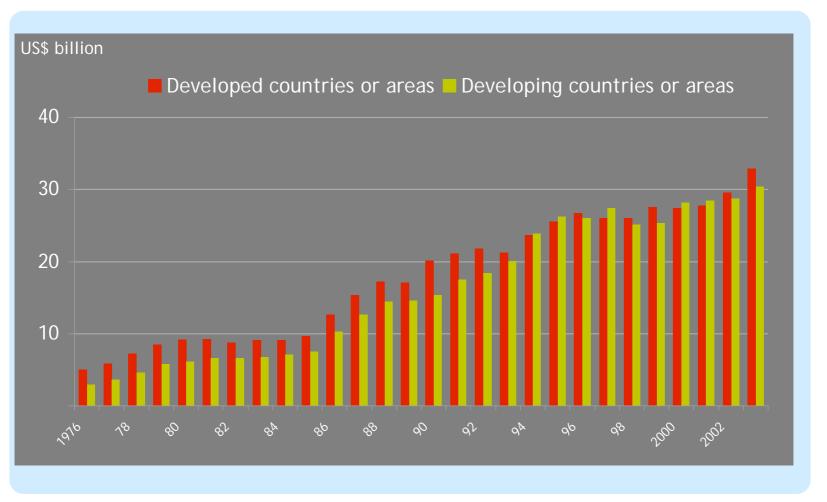
Workshop on Certification in Aquaculture Bangkok, Thailand 27-30 March 2007



#### Fisheries production (1951-2004)

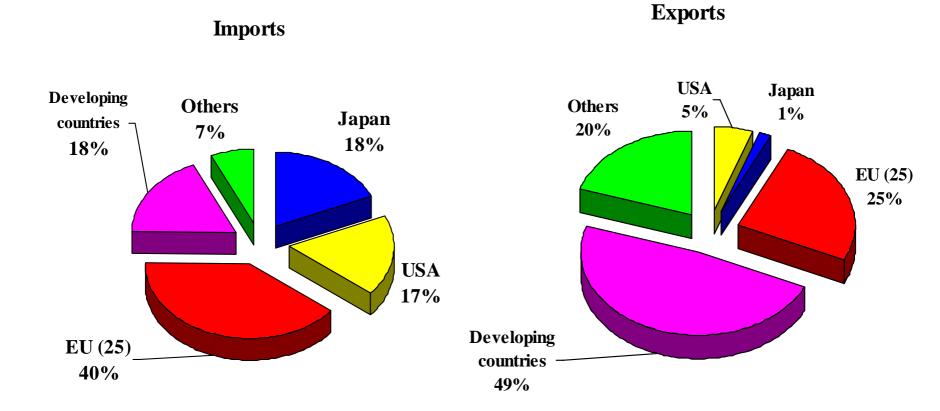


## Fish exports (1976-2004)

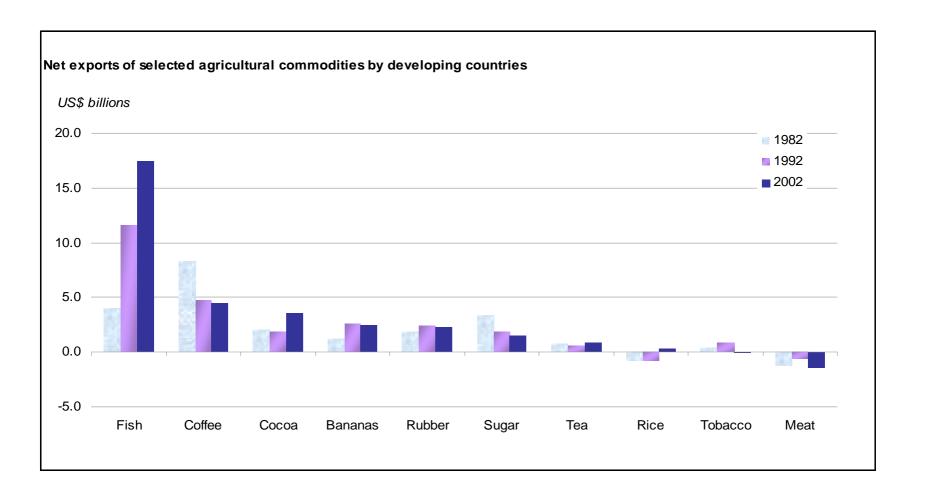




#### WORLD FISH TRADE 2004 (by value)

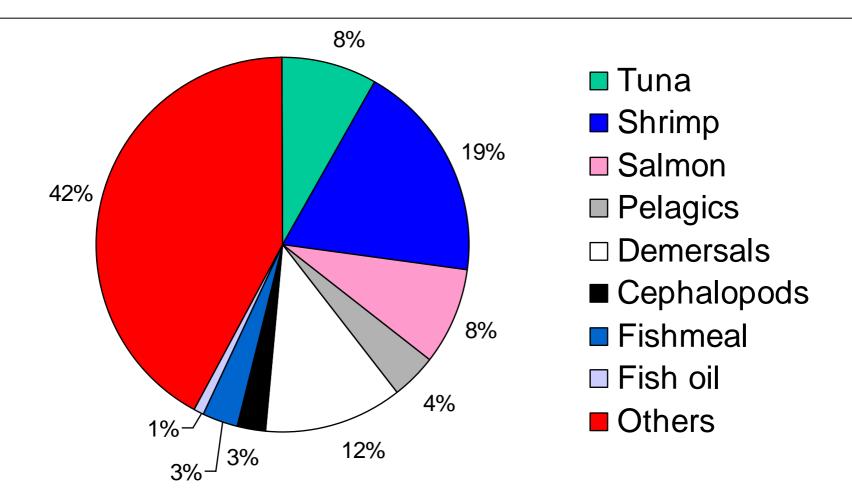








## Commodity breakdown (Trade)





>Liberalization of

#### **Issue:** trade

- >Maximal protection of plant, animal and human health
- > Minimal Technical Obstacles to trade

#### **Protection**

VS.

**Protectionism** 

- > Necessary to achieve an ALOP but sanitary requirements and technical standards should not be used to shield domestic producers from foreign competition
- >Pressure to do so is high as other trade barriers (tariffs and quotas) are reduced
  - > SPS measures and technical standards are complex which makes them particularly deceptive and difficult to challenge

Scope:

> Consumer protection

> Animal and plant protection

> Fair trade practices



- GATT (1948, Article XXII:b)
- Codex Alimentarius (FAO/WHO, 1962)
- TBT Agreement (GATT, Tokyo Round, 1974-1979)
- Code of Conduct For responsible fisheries (FAO, 1994. Article 11)
- COFI and its two sub committees
- SPS/TBT Agreements (WTO, 1995)
- ISO 9000:2000 (QMS) and 22000:2005 (FSMS)
- International Principles for Responsible Shrimp Farming, 2006
- Guidelines for Aquaculture Certification



# SPS/TBT Agreements General Principles

- Sovereignty
- Harmonization
- Transparency
- Equivalency
- Scientific basis
- Special and differential treatment
- Technical Assistance
- Consultation and dispute settlement



#### Harmonization

- Establishment, Recognition and Application of Common SPS Measures by Members
- Members shall base their measures on those developed by relevant international organizations (OIE, CAC, IPPC)
- Measures which conform to international standards are consistent with Agreement
- Members are to fully participate in the work of relevant international organizations
- WTO to monitor progress of international harmonization (SPS and TBT Committees)



## Equivalence

• Members shall accept other Member measures as equivalent, if final results are the same (achieve same ALOP)

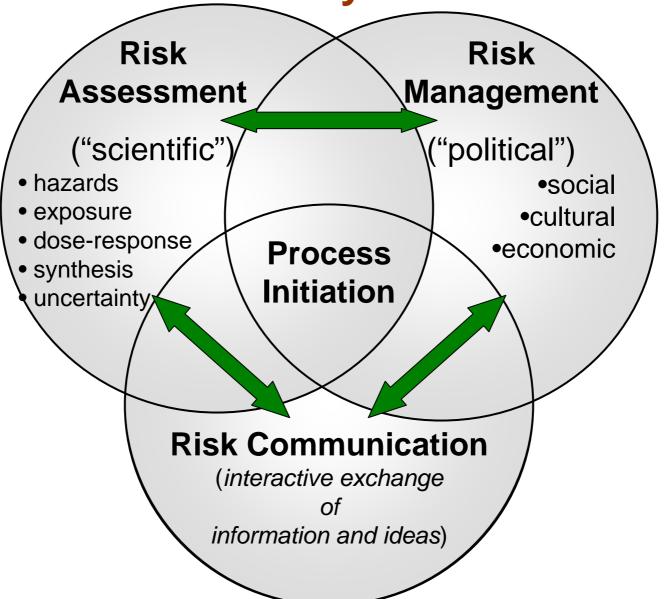
• Members shall consult to achieve bilateral and multilateral agreements (Mutual Recognition Agreements)



## Scientifically based standards

- SPS measures are to be based on the assessment of risks to humans, animals or plants, using internationally accepted risk assessment techniques and taking into account the available scientific evidence
- SPS measures should minimize negative trade effects and arbitrary and unjustified measures should not be considered

#### The Risk Analysis Process





#### SPS/TBT and Codex



## CODEX

Standards
Codes of Practice

**National Regulations** 



## Management Organs of the CA

- The Executive Committee
- The Regional Co-coordinating Committees
- The Secretariat of the Commission



## Technical Organs of the CA

• 9 General Subject Committees

• 12 Commodity Committees

• 4 Ad Hoc Inter-Governmental Task Forces



## General Subject Committees

- General Principles (France)
- Import/Export Inspection and Certification Systems (Australia)
- Food Labeling (Canada)
- Methods of Analysis & Sampling (Hungary)
- Food Hygiene (USA)
- Residues of Veterinary Drugs in Food (USA)
- Pesticide Residues (Netherlands)
- Food Additives and Contaminants (Netherlands)
- Nutrition and Foods for Special Dietary Uses (Germany)



## **Active Commodity Committees**

- Fats and Oils (U. K.)
- Fish and Fishery Products (Norway)
- Milk and Milk Products (New Zealand)
- Fresh Fruits and Vegetables (Mexico)
- Cocoa Products & Chocolate (Switzerland)
- Natural Mineral Waters (Switzerland)



## **Expert input to Codex work**

- Joint FAO/WHO Expert Committee on Food Additives (JECFA)
- Joint FAO/WHO Meeting on Pesticides Residues (JMPR)
- Joint FAO/WHO Expert Committee on Microbiological Risk Assessment (JEMRA)
- Joint FAO/WHO Working Groups, Expert Consultations



#### JECs Essential characteristics

- Independent of *Codex*
- Members serve on their personal scientific capacity
- Broad based representation
- Meet on ad hoc or regular basis
- Provide scientific advice to
  - Codex Alimentarius Commission
  - FAO/WHO Governing Bodies
  - FAO/WHO Member Countries



#### **Expert input to Codex Committees**

#### **Residues of Veterinary Drugs**

JECFA ADI &





MRL



**Codex Committee on Residues of Veterinary Drugs in Foods** 



#### Food Hygiene and Food Labeling:

general provisions

Codex Committee on Food Labeling &

**Codex Committee on Food Hygiene** 

**Establish** 

**General provisions** 

Ex: Codex Code of practice for food hygiene



Are referred to in commodity standards

## Food Hygiene and Food Labeling: specific provisions

**Codex Commodity Committees** 

**Propose** 

# Are described in commodity standards



**Approve** 

#### **Specific provisions**

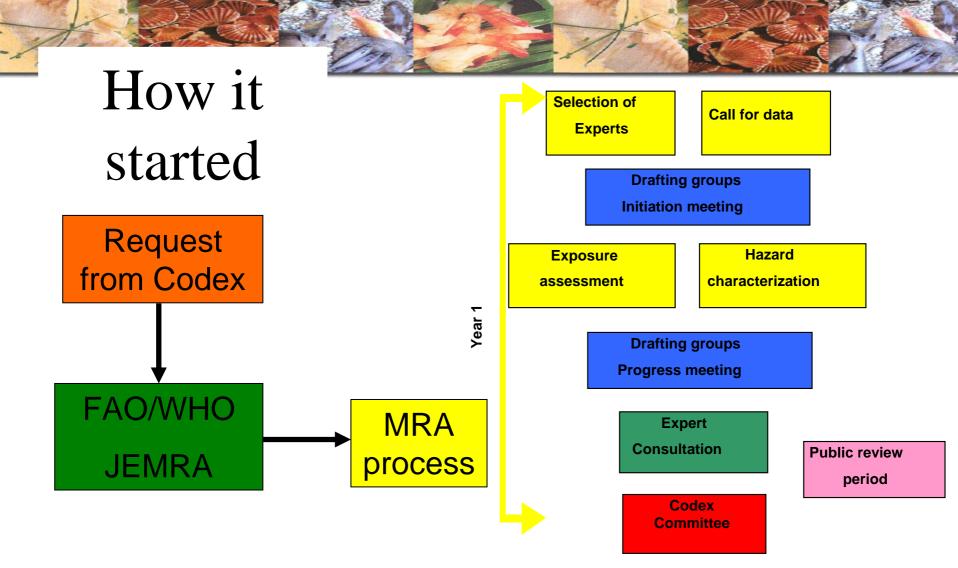
at Step 3, 4 or 5 of the elaboration procedure of commodity standards



Codex Committee on Food Labeling &

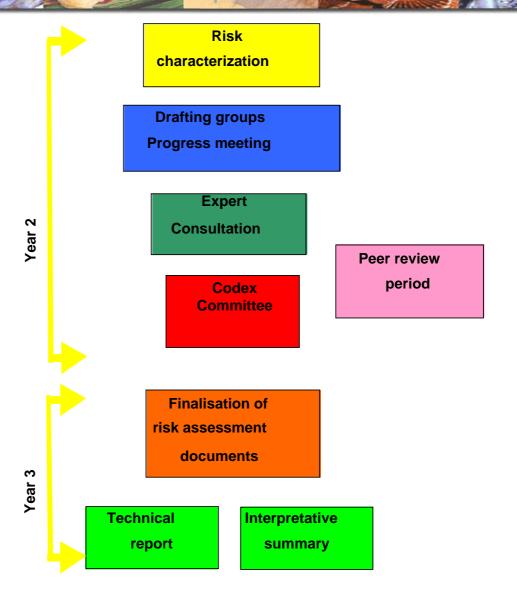
Codex Committee on Food Hygiene







## MRA Process (2)





## Outputs relevant to Aquaculture

- Code of practice for food hygiene (GHP, HACCP, Risk assessment)
- Standards for fish and fishery products (Volume 9A)
- Code of practice for intensive aquaculture (GAP, HACCP)
- Several risk assessments (Vibrios in seafood, biotoxins, antimicrobial resistance)
- Several principles and guidelines for food import and export inspection and certification
- MRL for veterinary drugs



#### **Development of Private standards**

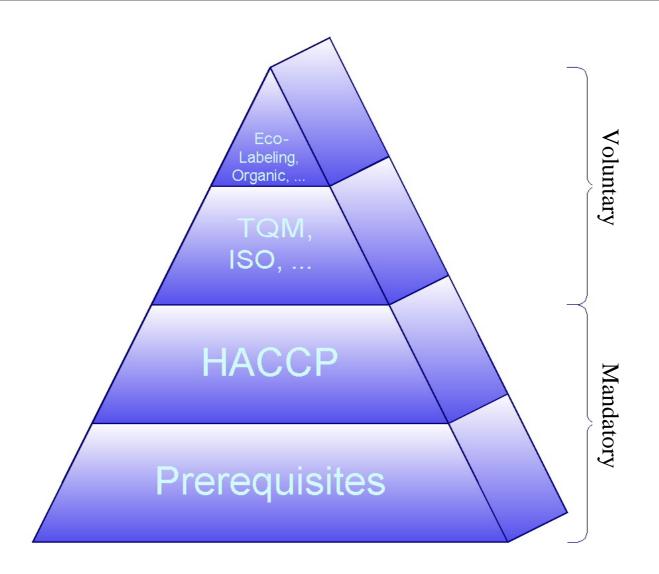
- Consolidation in the food industry (e.g., processing, retail)
- Emergence of coalitions (GFSI, BRC)
- "Supermarketization", including in developing countries
- Increasing influence of civil society and consumer advocacy groups
- Increasing role of retailers as the last link between suppliers and consumers. The use of B2B standards to protect reputations
- Special case of aquaculture (GAA, ACC, EUREP GAP)

#### **Implications**

- Additional burden for Small scale businesses and developing countries
- Risk of anti-competitive behavior
- Definition of boundaries between private and public sectors. Who is responsible for what?
- Competing certifying schemes confuse consumers as to the value of the process



#### Practical implementation





#### Thank you

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